

REQUEST FORM AND FORMALITIES PRELIMINARY AMENDMENT FOR
FILING A PATENT APPLICATION UNDER 37 C.F.R. 1.53(b)
(Submit an original and a duplicate for fee processing)

02/16/01
JC685 U.S. PTO
09/783956
02/16/01

THIS APPLICATION:

DOCKET NUMBER FILING DATE

PRIOR APPLICATION:

EXAMINER ART UNIT

TOTAL NO.
OF PAGES

P64425US2

February 16, 2001

E. Thomas

2831

5

Address to:

Honorable Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

February 16, 2001

This is a request for filing a divisional application under 37 C.F.R. 1.53(b) of prior application Serial No. **09/494,308** filed on **January 31, 2000**, entitled **ELECTRIC ENERGY STORAGE DEVICE AND METHOD FOR MANUFACTURING THE SAME** by the following inventors.

[1] Family Name First Given Name Second Given Name
Full Name of Inventor **KIM SEONG MIN**

City State or Foreign Country Country of Citizenship
Residence & Citizenship **Kyonggi-Do Republic of Korea Republic of Korea**

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[2] Family Name First Given Name Second Given Name
Full Name of Inventor **HONG JIN YOUNG**

City State or Foreign Country Country of Citizenship
Residence & Citizenship **Kyonggi-Do Republic of Korea Republic of Korea**

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[3]	Family Name	First Given Name	Second Given Name
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	City	State or Foreign Country	Country of Citizenship
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Post Office Address	207-309 Hanyang Satbyul Apt. 1101-6, Bisan-Dong Dongan-Ku, Anyang-Shi, Kyonggi-Do, Republic of Korea		

[4]	Family Name	First Given Name	Second Given Name
Full Name of Inventor	KANG	HYO	RANG
	City	State or Foreign Country	Country of Citizenship
Residence & Citizenship	Kyonggi-Do	Republic of Korea	Republic of Korea
Post Office Address	614-1903 Hanyang Satbyul Apt., 1109-6 Bisan-Dong, Konggi-Do, Korea		

1. ☐ A Second Preliminary Amendment is enclosed.
2. ☒ Cancel claim(s) 1-32 for purposes of lessening filing fees.

The filing fee is calculated on the basis of the claims existing in the prior application as amended by 1 and/or 2 above.

CLAIMS

Basic Fee				\$355.00	\$710.00
Total Claims	22	- 20 =	2	x 9 = \$ 18.00	x 18 = \$
Ind. Claims	01	- 3 =	0	x 39 = \$ 0.00	x 78 = \$
[] Multiple Dependent Claims				+130 = \$	+ 260 = \$
				Total \$ 373.00	Total \$

3. ☒ The Commissioner is hereby authorized to charge fees under 37 C.F.R. 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.

4. ☐ This application is filed under Rule 53(f) and therefore the ☐ Filing Fee ☐ Declaration is not enclosed. All authorization to charge Deposit Account No. 06-1358 for 35 C.F.R. 1.16 fees previously made is revoked.

5. ☒ A check in the amount of \$ 373.00 is enclosed.

6. ☒ Amend the specification by inserting before the first line the sentence:

This is a divisional application of prior application serial no. 09/494,308 filed January 31, 2000.

☐ which in turn is

7. ☒ A verified statement claiming small entity status under 37 CFR 1.9 and 1.27:

a) ☒ was claimed by way of a declaration filed in prior application Serial No. 09/494,308.

b) ☐ is claimed by way of the attached declaration.

8. ☐ Priority of application Serial No. _____, filed on _____ in _____ is claimed under 35 U.S.C. 119.

a) ☐ Certified copy is on file in prior application, Serial No. _____.

b) ☐ Certified copy filed herewith.

9. ☒ The prior application is assigned of record to Ness Co., Ltd..

10. ☒ The Power of Attorney in the prior application is to at least one of the following:

Yoon S. Ham, 45,307; Harvey B. Jacobson, Jr., 20,851; John Clarke Holman, 22,769; D. Douglas Price, 24,514; Marvin R. Stern, 20,640; Allen S. Melser, 27,215; Michael R. Slobasky, 26,421; Jonathan L. Scherer, 29,851; Irwin M. Aisenberg, 19,007; and William E. Player, 31,409.

a) ☒ The power appears in the original papers of the prior application.

b) ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

11. ☒ Petition to extend the life of the above prior application to at least the date hereof

(One box) ☐ is being concurrently filed in

NOTE: (must be) that prior application.

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(X'd) ☐ was previously filed in that application.
☒ is not necessary.

If a Petition for Extension of Time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge any fees necessary under 37 CFR 1.17 (a)(1)-(5) to Deposit Account No. 06-1358.

12. ☐ New formal drawings are enclosed.

13. ☐ Enclosed is a copy of the Information Disclosure Statement (IDS) and PTO 1449 which was filed in Serial No. _____, filed _____ which is relied on for an earlier filing date under 35 U.S.C. 120.

a) ☐ More than one IDS was filed in the above Serial No. and copies of each IDS, less the documents, are enclosed.

14. ☐ Enclosed is a copy of the PTO 892 which issued in Serial No. _____, filed _____ which is relied on for an earlier filing date under 35 U.S.C. 120.

a) ☐ More than one PTO 892 issued in the above Serial No. _____ and copies of each PTO 892, less the documents, are enclosed.

15. ☒ Also enclosed are:
- Transmittal letter dated January 31, 2000;
- Specification;
- Drawing;
- Assignment document with cover letter; and
- Small Entity Declaration.

Address all future correspondence to:

Customer No. 00136
or
JACOBSON, PRICE, HOLMAN & STERN, PLLC
The Jenifer Building
400 Seventh Street, N.W.
Washington, D.C. 20004-2201
Telephone 202/638-6666

Enclosed is a copy of the prior application including the Declaration as originally filed, application, namely Serial No. 09/494,308, filed on January 31, 2000. The entire disclosure of the prior

application is hereby incorporated by reference.

Respectfully submitted,

By: 

Yoon S. Ham

Reg. No. 45,307

JACOBSON, PRICE, HOLMAN & STERN, PLLC

400 Seventh Street, N.W.

Washington, D.C. 20004

(202) 638-6666

Signed this 16th day of
February, 2001

☒ Attorney or Agent of record

☐ Filed under 37 CFR 1.34(a)

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LAW OFFICES OF
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January 31, 2000

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TANIA J. KEEBLE

Atty. Docket No.: P64425US0
CUSTOMER NUMBER: 00136

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of **Seong-min KIM, Jin-young HONG, Seok-Gyun CHANG and Hyo-rang KANG** for **ELECTRIC ENERGY STORAGE DEVICE AND METHOD FOR MANUFACTURING THE SAME**. The application comprises a 29-page specification including 54 claims (3 independent) and Abstract, 4 sheets of drawings, and a Declaration and Power of Attorney.

Accompanying the application for filing is:

Small Entity Declaration under 37 C.F.R. Section 1.19 and 1.27;

Assignment document, cover sheet and \$40.00 fee for recordation of Assignment; and

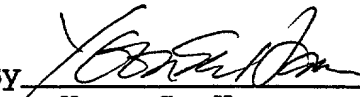
The filing fee has been calculated as shown:

Basic Fee for Small Entity:		\$345.00
Total Claims <u>54</u> - in excess of 20 = <u>34</u> (x \$9.00=)		306.00
Total Ind. Claims <u>3</u> - in excess of 3 = <u>0</u> (x \$39.00=)		.00
	+	
TOTAL FILING FEE		\$651.00

Check No. _____, in the amount of \$651.00, is enclosed to cover the Filing Fee. The Commissioner is hereby authorized to charge payment of any fees set forth in §§1.16 or 1.17 during the pendency of this application, or credit any overpayment, to Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By 
Yoon S. Ham
Reg. No. 45,307

YSH:ejb